



Wealth through ingenuity.

CMG Capital Management Group, Inc. PRIVACY POLICY

EFFECTIVE: November 1, 2018

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires CMG Capital Management Group, Inc. (“CMG”) to tell you how it collects, shares and protects your personal information. Please read this notice carefully to understand what CMG does.

The types of personal information that CMG collects can include: Social Security number, income, assets, investment experience, account transactions, retirement assets, tax information, and account numbers.

CMG maintains physical, electronic, and procedural safeguards that comply with federal standards to protect its clients’ non-public personal information (“information”). Through this policy and its underlying procedures, CMG attempts to secure the confidentiality of customer records and information and protect against anticipated threats or hazards to the security or integrity of customer records and information.

All financial companies need to share customers’ personal information to run their everyday business. Below, we list the reasons why financial companies can share their customers’ personal information, the reasons CMG chooses to share personal information and whether you can limit this sharing.

From time to time, CMG will share information for our everyday business purposes, such as to process transactions in your account or service your relationship. For example, we may need to discuss a client’s account with their custodian or with a service provider, such as an insurance company. We also share information with other co-clients that have entered a joint account agreement with you and CMG. You cannot limit this type of sharing because it is necessary for us to operate.

We may share your personal information with trusted third parties (*e.g.*, members of the CMG Mauldin Adviser Alliance) so that they can market to you. You can limit this type of sharing by calling us at (610) 989-9090 or by emailing us at privacy@cmgwealth.com and we will honor your request as soon as is reasonably possible. If you are a new client, we can begin sharing your information thirty (30) days from the date we sent this notice. When you are no longer our client, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.

Federal law also allows CMG to disclose clients’ information if CMG is: (1) previously authorized by the client to disclose information to third parties, including, but not limited to, clients’ other agents (*e.g.*, attorney, accountant, insurance agent, broker-dealer, investment adviser, account custodian.); (2) required to do so by judicial or regulatory process; or (3) permitted to do so in accordance with the federal law.

Should you have any questions regarding this notice, please contact CMG’s Chief Compliance Officer, Todd Silbergeld, at (610) 989-9090.