

Part 2A of Form ADV: Firm Brochure

Item 1 Cover Page

The logo for Millennium Wave Advisors, LLC is displayed in a large, white, serif font with a slight shadow effect, centered on a dark, gradient background that transitions from black at the top to a lighter grey at the bottom.

MILLENNIUM WAVE ADVISORS, LLC

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Dallas, TX 75205
800-829-7273
www.johnmauldin.com
IARD#114323

This brochure provides information about the qualifications and business practices of Millennium Wave Advisors, LLC. It is prepared pursuant to regulatory requirements. If you have any questions about the contents of this brochure, please contact us at the phone number or website listed above. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission (SEC) or by any state securities authority. Millennium Wave Advisors, LLC is a registered investment adviser with the SEC under the Investment Advisers Act of 1940 (the "Advisers Act"). However, such registration does not imply a certain level of skill or training. Additional information about Millennium Wave Advisors, LLC is also available on the SEC's website at www.adviserinfo.sec.gov.

Dated: February 27, 2013

Item 2 Material Changes

This Form ADV, Part 2, also known as the “Brochure”, requires disclosure on distinct topics, and answers must be presented in the order of the items in the form, using the headings in the form. We urge you to carefully review all subsequent summaries of material changes, as they will contain important information about any significant changes to our advisory services, fee structure, business practices, conflicts of interest, and disciplinary history.

After the initial filing of this Brochure, this Item will be used to provide our clients with a summary of new and/or updated information. We will inform you of the revision(s) based on the nature of the updated information.

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INTRODUCTION

Millennium Wave Advisors, LLC is a Registered Investment Advisory firm registered with the Texas State Securities Board since October 5, 1999. We are registered in California, Connecticut, Florida, Georgia, Illinois, Missouri, New Jersey, New York, North Carolina, Virginia, Washington, Wisconsin and our home state of Texas which means we are registered to do business in these states. We may conduct business in other states by claiming an exemption from registration. Our registration as an Investment Adviser does not imply any level of skill or training. The oral and written communications we provide you, including this Brochure, is information you can use to evaluate us and other advisers, which are factors in your decision to hire us or to continue to maintain a mutually beneficial relationship. This Brochure provides information about our qualifications and business practices.

OWNERSHIP

Millennium Wave Advisors, LLC is a privately owned limited liability company headquartered in Dallas, Texas. John F. Mauldin founded Millennium Wave Advisors, LLC on September 8, 1999 and is the sole manager and owner of the business.

ADVISORY SERVICES OFFERED

Millennium Wave Advisors, LLC is a Solicitor for other unaffiliated Registered Investment Advisory firms.

INVESTMENT ADVISOR SOLICITATION SERVICES:

Millennium Wave Advisors, LLC is a Solicitor for other unaffiliated Registered Investment Advisory firms. We are qualified to be and act as an investment adviser under the Investment Advisers Act of 1940 and the laws of the states in which we transact business in and are familiar with the rules promulgated under the Investment Advisers Act of 1940 and the numerous requirements pertaining to the solicitation of prospective investment advisor clients. In this capacity we may participate in product suitability, but the unaffiliated registered investment adviser firm is responsible for individual client suitability on an initial and ongoing basis.

When soliciting clients for unaffiliated Registered Investment Advisors, each client shall be provided with the most recent relevant brochures or equivalent disclosure documents of the unaffiliated registered investment advisers that details their applicable programs and the compensation to be paid by you and what portion of the same is received by us for our solicitation services and the association between our firm and the unaffiliated registered investment advisers. Our advice is not limited to these types of investments.

SUB-ADVISORY SERVICES:

We may enter into agreements to act as an investment consultant to Investment Managers. We will provide advice to the Investment Manager in relation to the allocation of certain portfolio assets from time to time by the Investment Manager. All investment decisions will ultimately be made by the Principle Investment Manager. We do not have professional liability insurance coverage for our investment advisory services.

MARKETING SERVICES:

We may enter into a marketing agreement to develop relationships with persons or entities that might be interested in traditional or alternative investments through various channels including but not limited to, books, newsletters, internet websites and public speaking engagements. Persons interested in alternative funds must meet the definition of an Accredited Investor as defined under Regulations D of the Securities Act of 1933 and will be referred to a qualified broker-dealer which may receive commissions.

ASSETS UNDER MANAGEMENT:

Millennium Wave Advisors, LLC operates as a solicitor and does not have clients; therefore, there are no assets under management at our firm.

Item 5 Fees and Compensation

Solicitor Fee Schedule:

The fees payable to us for these referral services depend upon the fee arrangement between our Firm and the unaffiliated registered investment adviser to whom you are referred. We will receive a portion of the unaffiliated registered investment adviser's ongoing fees as compensation for the solicitation or consulting. Our fee may be up to one half of the fees charged. The fee shall be paid solely from the unaffiliated registered investment adviser and will be paid to us within 30 days of the unaffiliated registered investment adviser's receipt of the advisory fee from the client. The fee paid to us will not result in any additional charge to the client of the unaffiliated registered investment adviser.

Termination:

Our agreements contain provisions for either party to terminate the agreement with written notice. The agreement between the unaffiliated registered investment adviser and our firm may be terminated upon written notice to the other party. Termination of the agreement will only affect future referral prospects. We will remain entitled to continue payment of our referral fees for all prospects whether they are clients at the time of termination or whether they become clients subsequent to termination as long as the unaffiliated registered investment adviser receives such fees from the referred clients and as long as we maintain the appropriate regulatory licenses.

Sub-Advisory Fee Schedule:

We may receive a flat annual fee (once the fund has achieved the required net assets under management) and a Director's fee.

Termination

Our agreements contain provisions for either party to terminate the agreement with written notice. It is understood that the Agreement may be terminated at any time, by either party immediately upon receipt of a ninety days written notice from one party to the other. We are entitled to receive all fees and other monies accrued due up to the date of termination.

Marketing Fee Schedule:

If any referred qualified clients open an account, we are paid a negotiated amount depending on the account opened by the client. Payments are generally paid 30 days from the date upon which they are received from the qualified client. Our arrangement does not deem us as an agent, employee or partner with the other party.

Termination:

Our agreements contain provisions for either party to terminate the agreement with written notice. It is understood that the Agreement may be terminated at any time, by either party immediately and for any reason upon written notice to the other party. Termination of the agreement will only affect future referral prospects. We will remain entitled to continue payment of our referral fees for all prospects whether they are clients at the time of termination or whether they become clients subsequent to termination as long as the unaffiliated registered investment adviser receives such fees from the referred clients and as long as we maintain the appropriate regulatory licenses.

Texas Client Disclosure Statement:

Client acknowledges receipt of Part 2 of Form ADV; a disclosure statement containing the equivalent information; or a disclosure statement containing at least the information required by Part 2A Appendix 1 of Form ADV, if the client is entering into a wrap fee program sponsored by the investment adviser. If the appropriate disclosure statement was not delivered to the client at least 48 hours prior to the client entering into any written or oral advisory contract with this investment adviser, then the client has the right to terminate the contract without penalty within five business days after entering into the contract. For the purposes of this provision, a contract is considered entered into when all parties to the contract have signed the contract, or, in the case of an oral contract, otherwise signified their acceptance, any other provisions of this contract notwithstanding.

Item 6 Performance-Based Fees and Side-By-Side Management

We do not charge performance based fees nor do we provide side by side management services.

Item 7 Types of Clients

Our customer base for referrals to other unaffiliated registered investment advisers consists of individuals, pension and profit sharing plans, trusts, estates, charitable organizations, corporations and other business entities.

Item 8 Methods of Analysis, Investment Strategies and Risk of Loss

Methods of Analysis & Investment Strategies:

We refer clients to unaffiliated registered investment advisers who offer a selection of different investment approaches. This includes trend following, countertrend, technical signal based, fundamental and tactical asset allocation strategies. Information of each unaffiliated registered investment adviser's method of analysis and investment strategies are described in each of their ADV Part 2A brochures or other disclosure documents that will be provided upon the referral.

Risk of Loss:

The advice offered to you is determined by the areas of expertise of the unaffiliated registered investment adviser's agent providing the service and your stated objective. We advise you to notify your investment manager promptly if there are ever any changes in your financial situation or investment objective or if you wish to impose any reasonable restrictions upon their management services.

We do not represent, warrant, or imply that the services or methods of analysis employed by our unaffiliated registered investment advisers can or will predict future results, successfully identify market tops or bottoms, or insulate you from losses due to market corrections or declines. All securities trading, whether in stocks, options, or other investment vehicles, is speculative in nature and involves substantial risk of loss that clients should be prepared to bear. Past performance is not necessarily indicative of future results. You should make every effort to understand the risks involved.

Item 9 Disciplinary Information

In 2003, while employed with The Wilson Williams Financial Group, John F. Mauldin, President, President, Owner and Chief Compliance Officer of our firm, wrote newsletters regarding investments in hedge funds that allegedly had inadequate risk disclosures and contained exaggerated and unwarranted statements and claims according to the National Association of Securities Dealers, Inc. Without admitting or denying the findings, John F. Mauldin consented to the sanctions and findings and was fined \$35,000 and required to file with the NASD's Advertising and Regulations Department all sales literature except for generic newsletters that do not discuss or otherwise reference specific securities and ads, written, distributed or used at least ten days prior to first use.

Item 10 Other Financial Industry Activities and Affiliations

Related Relationships:

Relationship with Millennium Wave Securities, LLC:

Millennium Wave Securities, LLC, (Member FINRA/SIPC) is a registered broker-dealer and an affiliated firm through the ownership of John F. Mauldin. Millennium Wave Securities, LLC is also a member of the National Futures Association (“NFA”) and registered in the capacities of a Commodity Pool Operator, Commodity Trading Advisor and Introducing Broker. Millennium Wave Securities, LLC refers clients to other broker/dealers and registered investment advisers. John F. Mauldin is a registered representative of Millennium Wave Securities, Inc.

Non-Related Relationships:

Third Party Advisor Relationships:

We act as a Solicitor for other unaffiliated Registered Investment Advisory firms. We may develop other solicitor arrangements with other unaffiliated registered investment advisers at our discretion. We currently have a third party advisory relationship with CMG Capital Management Group, Inc. in Radnor, PA and Vantage Investment Advisors, LLC in College State, PA. See item 4 for more details.

You will receive a Solicitor's Disclosure Statement, pursuant to SEC Rule 206(4)-3. The Solicitor's Disclosure Statement provides, among other things, disclosure regarding the affiliation, if any, between our Firm and the third-party adviser: the terms of the solicitation agreement between our Firm and the third-party adviser, including the amount of compensation to be paid to our Firm for the solicitation; and the additional cost to you if any, as a result of the solicitation agreement.

Sub-Advisory Relationships:

We currently have a sub-advisory relationship with the Investment Manager of The ARP Structural Alpha Fund (SICAV). We may develop other sub-advisory arrangements with other investment managers at our discretion.

Other Broker-Dealer Relationships:

We currently have a marketing agreement with Altegris Investments, Inc. (Member FINRA/NFA/SIPC). We may develop other marketing arrangements with other broker-dealers at our discretion.

Item 11 Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

Code of Ethics:

We have adopted a Code of Ethics Policy to prohibit conflicts of interest from personal trading by our advisory personnel and have established standards of conduct expected of our advisory personnel. We have set forth in the Code of Ethics Policy statements of general principals, required course of conduct, reporting obligations, and review and enforcement of the Code of Ethics Policy. We will provide a copy of the Code of Ethics Policy to our clients or prospective client's upon written request.

Participation or Interest in Client Transactions / Personal Trading:

We require all employees to report all securities that they have participated in under applicable laws, no less than quarterly. We insist on its employees acting with integrity and in good faith. We, however, realize that personal interests may conflict with the client's interests, regulations or company interests. Client interests are paramount. An employee must place client interests before their own. Employees cannot accept or receive any gift of more than our de-minimus value from any person or entity on behalf of our firm. Records of all employees' proprietary trading activities are reviewed and kept by us. We and our employees will act in a fiduciary manner, understand the prohibitions against the use of any insider information and will always act in your best interest.

Item 12 Brokerage Practices

We may recommend a broker dealer that we have a marketing or other agreements with to a potential client or solicited person. Factors in selecting these broker dealers may include some or all of the following, third party investigations, manager due diligence, document review of managers and advisors, educational and business background as well as relevant regulatory registrations. We will refer clients to brokers or dealers that are registered in the place that the clients reside (state, or country if international).

Item 13 Review of Accounts

With respect to our cash solicitation activities, we do not review client accounts. Reviews are limited to the analysis of the various investment managers we recommend. Client accounts will be reviewed by the respective investment advisor and reports will be sent to you by them.

Each client receives a custodial broker's confirmation of each security transaction. At the end of each month (quarterly in the case of no account activity), you will receive a custodial broker's statement detailing all cash and security transactions for the period and the cash balance and securities market values held by the broker.

Item 14 Client Referrals and Other Compensation

Client Referrals:

We do not have any arrangements that we compensate another for client referrals to our firm.

Other Compensation:

We receive no other compensation other than what is already disclosed in this Brochure.

Item 15 Custody

We do not maintain custody of any funds or securities for any clients we refer to other unaffiliated registered investment advisers. Your funds and securities will be maintained with a "qualified custodian" as required under Rule 206(4)-2 under the Advisers Act with each unaffiliated registered investment adviser's designated custodian.

Item 16 Investment Discretion

We do not manage client accounts. We will refer you to unaffiliated registered investment advisers whose investment style is believed to be consistent with your financial needs, investment goals, tolerance for risk and stated investment objectives to manage your portfolio. The unaffiliated registered investment adviser is granted authority by you to manage and invest your assets.

Item 17 Voting Client Securities

We do not vote proxies as we do not maintain any client accounts. Each unaffiliated registered investment adviser will have their own proxy voting procedures and you should contact them directly.

Item 18 Financial Information

We do not require or solicit prepayment of more than \$500 in fees per client, six months or more in advance. We do not have any financial condition that is reasonably likely to impair the ability to meet contractual commitments to you.

Item 19 Requirements for State Registered Advisers

John F. Mauldin

Education:

Rice University, Houston, Texas (B.A. 1972)

Southwestern Baptist Theological Seminary, Fort Worth, Texas (Master of Divinity, 1974)

Business Affiliation:

Millennium Wave Advisors LLC, Owner, CCO 09/1999 to Present

Millennium Wave Securities LLC, Owner, CCO 09/99 to Present

Millennium Wave Tactics, LLC, Owner, 2011 to Present

Mauldin Management Company Inc., Owner 05/04 to Present

Business Marketing Group, Inc., Owner 05/89 to Present

Mauldin Economics, LLC, Owner, 02/2012 to Present

Millennium Wave Management, Owner, 05/2000 to Present

John Mauldin divides his time equally among each of these commonly owned entities although the amount of time spent may vary as needed for each entity.

Additional Activities:

John F. Mauldin is a Director of ARP, My911 and Galectin Therapeutics. No compensation is received and very few hours are devoted to these activities. John also has a personal investment in Direct Marketing Works. John is also a consultant for Brevan Howard Research, a hedge fund research company and Ashford Hospitality Trust, Inc, a real estate investment trust.

Disclosable Events:

In 2003, while employed with The Wilson Williams Financial Group, John F. Mauldin, President, Managing Member and Chief Compliance Officer of our firm, wrote newsletters regarding investments in hedge funds that allegedly had inadequate risk disclosures and contained exaggerated and unwarranted statements and claims according to the National Association of Securities Dealers, Inc. Without admitting or denying the findings, John F. Mauldin consented to the sanctions and findings and was fined \$35,000 and required to file with the NASD's Advertising and Regulations Department all sales literature except for generic newsletters that do not discuss or otherwise reference specific securities and ads, written, distributed or used at least ten days prior to first use.

Other Arrangements:

Neither our Firm nor any of our registered agents have a relationship or arrangement with any issuer of securities that is not listed in item 10.C of Part II A.

Part 2B of Form ADV: Brochure Supplement

Item 1 Cover Page

Millennium Wave Advisors, LLC
3204 Beverly Drive
Dallas, TX 75205
214-272-2383
IARD#114323

John F. Mauldin
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Dallas, TX 75205
214-272-2383
CRD# 1945566

This brochure supplement provides information about John F. Mauldin that supplements the Millennium Wave Advisors, LLC brochure. You should have received a copy of that brochure. Please contact John F. Mauldin, President/CCO, if you did not receive Millennium Wave Advisors, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about John F. Mauldin is available on the SEC's website at www.adviserinfo.sec.gov.

Dated: February 27, 2013

Item 2 Educational Background and Business Experience

Education History:

John Francis Mauldin, born 1949, attended Rice University in Houston, Texas and received his Bachelor of Arts ("B.A.") degree. He later attended the Southwestern Baptist Theological Seminary in Fort Worth Texas and received his Master of Divinity ("M.Div.") degree.

John F. Mauldin also writes a weekly e-letter, has written various books on investing and speaks at national and international events.

Business Background:

EMPLOYER	START DATE	END DATE	POSITION
Millennium Wave Tactics LLC	September, 2011	Current	Owner
Millennium Wave Advisors, LLC	September, 1999	Current	Chief Compliance Officer and Owner
Millennium Wave Securities, LLC	September, 1999	Current	Chief Compliance Officer and Owner
Mauldin Management Company, Inc.	May, 2004	Current	Owner
Business Marketing Group, Inc.	May, 1989	Current	Owner
Mauldin Economics, LLC	February, 2012	Current	Owner
Millennium Wave Management, LLC	September, 1999	Current	Owner

John Mauldin divides his time equally among each of these commonly owned entities although the amount of time spent may vary as needed for each entity.

Item 3 Disciplinary Information

In 2003, while employed with The Wilson Williams Financial Group, John F. Mauldin, President, Managing Member and Chief Compliance Officer of our firm, wrote newsletters regarding investments in hedge funds that allegedly had inadequate risk disclosures and contained exaggerated and unwarranted statements and claims according to the National Association of Securities Dealers, Inc. Without admitting or denying the findings, John F. Mauldin consented to the sanctions and findings and was fined \$35,000 and required to file with the NASD's Advertising and Regulations Department all sales literature except for generic newsletters that do not discuss or otherwise reference specific securities and ads, written, distributed or used at least ten days prior to first use.

A full report that reflects the professional background, business practices, and conduct of our advisory agents is available through the Financial Industry Regulatory Authority's (FINRA) BrokerCheck system link at www.finra.org/brokercheck or you may request disclosable information under BrokerCheck by calling (800) 289-9999, a toll-free hotline operated by FINRA.

You may also access a full report of our advisory agents through the IAPD link at www.adviserinfo.sec.gov. Should you have any technical difficulties with this link you can call 240-386-4848 for further assistance.

The information that appears on these websites is collected from individual investment adviser representatives, investment adviser firm(s), and/or securities regulator(s) as part of the securities industry's registration and licensing process.

Item 4 Other Business Activities

John F. Mauldin is also a registered representative of Millennium Wave Securities, LLC (Member FINRA/SIPC). In this capacity, he may sell securities through Millennium Wave Securities, LLC and receive normal and customary commissions as a result of such purchases and sales. This presents a conflict of interest to the extent that he recommends that you invest in a security which results in a commission being paid to him.

John F. Mauldin also writes a weekly e-letter, has written various books on investing and speaks at national and international events, consults with individuals and companies and acts as a compensated and non-compensated board member for several companies. These activities are done through Mauldin Economics, LLC or Millennium Wave Tactics, LLC.

John is the President and owner of Business Marketing Group. This company markets the products and services associated with the John Mauldin brand. John is also the President and owner of Mauldin Management Company. Mauldin Management Company pays the operating expenses related to all areas of John Mauldin's business' including overhead, labor, public relations and corporate website. Millennium Wave Management was a company that was established and has not developed a business. John is the President and owner of Millennium Wave Management.

John F. Mauldin is a Director of ARP, My911, Inc. and Galectin Therapeutics and has a personal investment in Direct Marketing Works. No compensation is received and very few hours are devoted to these activities.

John F. Mauldin is also a consultant for Brevan Howard Research, a hedge fund research company and Ashford Hospitality Trust, Inc, a real estate investment trust. Very little time is spent on these activities.

Item 5 Additional Compensation

John F. Mauldin does not receive any economic benefits for providing advisory services from someone who is not a client of Millennium Wave Advisors, LLC.

Item 6 Supervision

With respect to our cash solicitation activities, we do not review client accounts. Reviews are limited to the analysis of the various investment managers we recommend. Client accounts will be reviewed by the respective investment advisor and reports will be sent to you by them.

Our Firm has established written policies and procedures that it will utilize to supervise. In addition, a Code of Ethics has been adopted, which we have agreed to follow and comply with.

The confidence and trust placed in our Firm and its employees is something we value and endeavor to protect.

John F. Mauldin is the President, Chief Compliance Officer and Owner. John F. Mauldin can be reached at 214-272-2383 should you have any additional questions or concerns.

Item 7 Requirements for State-Registered Advisers

John F. Mauldin has not been involved in any of the following:

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500 involving:
 - a. An investment or investment related business or activity
 - b. Fraud, false statement(s), or omissions
 - c. Theft, embezzlement, or other wrongful taking of property
 - d. Bribery, forgery, counterfeiting, or extortion or
 - e. Dishonest, unfair, or unethical practices

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a. An investment or investment related business or activity
 - b. Fraud, false statement(s), or omissions
 - c. Theft, embezzlement, or other wrongful taking of property
 - d. Bribery, forgery, counterfeiting, or extortion or
 - e. Dishonest, unfair, or unethical practices

Bankruptcy Petitions:

John F. Mauldin has not been the subject of a bankruptcy petition,

No further disclosure are required that have not already been reported.