

Scotia Partners, Ltd.

Privacy Policy

We at Scotia Partners, Ltd. (“SPL”) are committed to maintaining your trust and confidence. We are aware that protecting the privacy and security of our clients is an important responsibility. In order for us to be able to provide you with the level of efficient service you have come to expect of us, it is necessary that we collect personal information from you.

SPL has adopted the following policies related to the collection, use, and safeguarding of this personal information.

There are several types of nonpublic personal information which we collect about you, including:

- Your name, address, social security number, etc., as provided on account applications and other forms, as well as through communications (electronic, telephone, written, or in person) with you or with your authorized representatives (i.e. your attorney, accountant, etc.).
- Information about your brokerage account(s) and transactions (such as purchases, sales, account balances, etc.).

We do not disclose the nonpublic personal information we collect about our clients to anyone except to (i) other financial institutions with which we have a business relationship, and then only to those persons necessary to effect the transactions and provide the services that the client has authorized; (ii) to persons assessing our compliance with industry standards (i.e. professional licensing authorities); (iii) our attorneys, auditors, or accountants; or (iv) as otherwise provided by law.

All companies are contractually obligated to keep the information we provide to them confidential and use the information only for the services required and as allowed by applicable law or regulation, and are not permitted to share or use the information for any other purpose.

We maintain physical, electronic, and procedural safeguards to protect your nonpublic personal information. We restrict access to client information to those employees who need to know that information in order to service your account(s). If you choose at any point to either terminate our services or become an inactive client, we will continue to adhere to this privacy policy, as may be amended periodically.

We will provide you with notice of any material change to this policy before we implement such change.