

Privacy Policy

Millennium Wave Investments, Millennium Wave Securities LLC, and Millennium Wave Advisors (the Millennium Companies) are providing this notice to explain our information collection practices and other important information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share and protect your personal information.

INFORMATION WE COLLECT

We collect nonpublic personal information about you from the following sources:

- Information we receive about you on applications and other forms including subscription documents, questionnaires and online registration forms. This information may include your name, address, telephone number, e-mail address, social security number, income and other financial data, investment experience, etc.
- Information about your transactions with us, our affiliates and others. This includes information about other products you may have purchased through the Millennium Companies and others, information about additions, redemptions, etc.
- Information we receive about you from third parties such as brokers and selling agents. This includes information about their review of your investment application for products the Millennium Companies sponsor that such firms sell.

DISCLOSURE OF YOUR INFORMATION

We do not disclose any personal or financial information about you, whether you are our current or former client, without your authorization or direction, except as disclosed below.

We may share information about you with affiliated and nonaffiliated third parties, in order for them to assist us in providing services to you, and otherwise as permitted by law. You may not limit the sharing of this information. However, nonaffiliated third parties who receive information about you in order to assist us in providing services to you are restricted from using your information for any other purpose, except to the extent we have a solicitor or joint marketing arrangement with them, as further described below.

We may also share information about you with our affiliated financial service companies as reasonably needed to provide you with the financial services that you have engaged us to perform, or otherwise to identify services which may serve your financial, investment or planning objectives. We may also disclose information that we collect about you with nonaffiliated third party financial services companies with which we have solicitor and joint marketing arrangements. **If you are a resident of California**, we will not share your information if you notify us that you do not wish us to share this information with non-affiliated third parties. A separate document entitled Important Privacy Choices for Consumers is included with this Privacy Notice that provides additional information under California law.

If there is a change to our Privacy Policy, the new policy will be posted on our web site with a visible effective date, and is available by email or post when requested.

CONFIDENTIALITY AND SECURITY

We restrict access to nonpublic personal information about you to those employees and third parties who need to know that information to assist us in providing services to you. We maintain physical, electronic and procedural safeguards that comply with federal standards to guard your nonpublic personal information.